

**Metropolitan Transportation Commission  
Administration Committee**

December 14, 2022

Agenda Item 2c

**Funding Agreement Supplement with San Francisco Municipal Transportation Agency  
(SFMTA) - Federal Transit Administration (FTA) 5304 Strategic Partnerships Grant for  
SFMTA Muni Metro Modernization Planning Study (\$500,000)**

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**Subject:**

This item requests Committee authorization to negotiate and enter into a funding agreement supplement with the SFMTA. Supplement 5 would amend the existing Master Funding Agreement with SFMTA, in an amount not to exceed \$500,000 over the period from December 2022 to February 2025.

**Background:**

In April 2022, MTC (with SFMTA as a sub-recipient) was awarded an FTA 5304 Strategic Partnerships grant of amount \$500,000 for the project “SFMTA Muni Metro Modernization Planning Study”. Additional information on the specific planning study can be found in Attachment A.

To advance work funded by this grant, MTC submitted an Overall Work Program (OWP) amendment to Caltrans, which was approved by the MTC Commission on October 26, 2022. A new Work Element number 1522 was established for this purpose. The signed resolution can be found in Attachment B. MTC is currently awaiting a Notice to Proceed from Caltrans.

The grant will be passed through to SFMTA as a supplement (Supplement 5) to the existing Master Funding Agreement with SFMTA. Pursuant to this supplement, MTC agrees to provide \$500,000 in FTA 5304 Strategic Partnerships funds to SFMTA to fund the “SFMTA Muni Metro Modernization Planning Study” project. This supplement includes the project scope of work and estimated budget and payment provisions.

**Issues:**

None identified.

**Recommendations:**

Staff recommends that this Committee authorize the Executive Director or designee to negotiate and enter into Supplement 5 to the Master Funding Agreement with SFMTA in an amount not to exceed \$500,000 to fund the "SFMTA Muni Metro Modernization Planning Study" project over the period from December 2022 to February 2025.

**Attachments:**

- Attachment A: MTC Resolution No.4516, Revised
- Request for Committee Approval - Summary of Proposed Funding Agreement Supplement



Therese W. McMillan

## **Request for Committee Approval**

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### **Summary of Proposed Funding Agreement Supplement**

Work Item No.:	1522
Recipient:	San Francisco Municipal Transportation Agency (SFMTA) San Francisco, CA
Work Project Title:	SFMTA Muni Metro Modernization Planning Study
Purpose of Project:	The Study will identify a package of projects to provide much-needed capacity and reliability improvements for Muni Metro. Together, selected strategies will provide Muni rail customers faster, longer trains, providing a quality of service that can be relied upon.
Brief Scope of Work:	Assess the feasibility and potential transit capacity improvements associated with a variety of strategies; Create a toolkit of feasible strategies by surface rail corridor to support subsequent phases of project outreach and design, with particular emphasis on delay and capacity solutions for the M Ocean View from West Portal to San Francisco State University (SFSU); Engage key stakeholders to provide program-level input to help scope project-specific outreach that would follow on a project-by-project basis following grant scope completion; Develop a funding and implementation strategy that sets projects up for competitive a Federal Transit Administration (FTA) Core Capacity Capital Investment Grant.
Project Cost Not to Exceed:	\$500,000
Funding Source:	FTA 5304 Strategic Partnerships Grant

Fiscal Impact: None, pass-through grant

Motion by Committee: That the Executive Director or designee is authorized to negotiate and enter into Supplement 5 to the Master Funding Agreement, pursuant to which MTC agrees to provide \$500,000 in FTA 5304 Strategic Partnerships Grant funds to fund the SFMTA Muni Metro Modernization Planning Study project, as described above and in the Administration Committee Summary Sheet dated December 14, 2022.

Administration Committee:

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Federal D. Glover, Chair

Approved: December 14, 2022

Date: April 27, 2022  
W.I.: 1121  
Revised: October 26, 2022 - C

ABSTRACT

Metropolitan Transportation Commission (MTC) Resolution No. 4516. Revised

This resolution approves the Metropolitan Transportation Commission's FY 2022-23 Overall Work Program (OWP) for transportation planning activities in the nine-county San Francisco Bay Area, authorizes the Metropolitan Transportation Commission (MTC) to monitor, direct and update the OWP for FY 2022-23, and authorizes MTC's Executive Director or designee to apply for grants and execute agreements to secure federal and state funds for transportation planning activities, execute and file assurances as requested by the California Department of Transportation (DOT) and to make administrative changes to grant applications.

Amendment No. 1 to the FY 2022-23 OWP reconciles unexpended Consolidated Planning Grant (CPG) carryover as of June 30, 2022, incorporates a new grant awarded by the Federal Transit Administration, and includes modifications to the OWP scope of work, transportation planning tasks and deliverables, as well as revisions to revenue and expense line items.

Date: April 27, 2022  
W.I.: 1121

Re: FY 2022-23 Overall Work Program (OWP) Planning Process Self-Certification, Authorization to the Administration Committee to monitor the OWP and take related actions, and Authorization to the Executive Director for Execution of Agreements for Federal and State Planning Grants, Execution of Amendments to Grant Applications and Filing of Assurances.

METROPOLITAN TRANSPORTATION COMMISSION

RESOLUTION NO. 4516

WHEREAS, MTC is the federally designated Metropolitan Planning Organization (MPO) for the Bay Area and maintains a continuing, comprehensive, and cooperative metropolitan transportation planning and programming process required to preserve the region's eligibility for federal and state funds for transportation planning, capital improvements, and operations; and

WHEREAS, the Metropolitan Transportation Commission (MTC) is also the Regional Transportation Planning Agency (RTPA) for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, MTC has articulated goals and objectives for the region's transportation system through its current Regional Transportation Plan (RTP)/Sustainable Communities Strategy (SCS) entitled Plan Bay Area 2050, which was adopted in October 2021; and

WHEREAS, MTC has developed, in cooperation with the State of California Department of Transportation (Caltrans) and with publicly-owned operators of mass transportation services, a work program for carrying out continuing, comprehensive, and cooperative transportation planning; and

WHEREAS, an Overall Work Program (OWP) for planning activities in the Bay Area for FY 2022-23 has been prepared by MTC, the Association of Bay Area Governments, the California Department of Transportation (Caltrans), the Federal

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Highway Administration (FHWA), the Federal Transit Administration (FTA), and public transportation operators; and

WHEREAS, the OWP for Fiscal Year 2022-23 includes Caltrans' Overall Work Program for the fiscal year to achieve the goals and objectives in MTC's Regional Transportation Plan (RTP); and

WHEREAS, MTC's Administration Committee has reviewed and referred approval of the OWP for FY 2022-23; and

WHEREAS, 23 Code of Federal Regulations (CFR) 450.308 requires that the designated MPO shall document metropolitan transportation planning activities performed with funds provided under title 23 U.S.C. and title 49 U.S.C. Chapter 53 in a unified planning work program; and

WHEREAS, MTC desires to apply for and execute one or more agreements with the United States Department of Transportation (DOT) for a grant(s) to aid in the financing of MTC's Overall Work Program for fiscal year 2022-23; now, therefore, be it

RESOLVED, that MTC does hereby adopt the FY 2022-23 OWP, which authorizes the programming for approximately \$20 million in transportation funds and , attached hereto as Attachment A to this Resolution and incorporated herein as though set forth at length; and be it further

RESOLVED, that MTC's Administration Committee shall monitor, direct, and update the OWP as necessary during Fiscal Year 2022-23 and shall incorporate any amendments into appropriate supplements to the OWP; and be it further

RESOLVED, that the Executive Director or designee is authorized to apply for and execute any agreements with the DOT for grants to aid in the financing of MTC's Overall Work Program included in Attachment A to this Resolution; and be it further

RESOLVED, that the Executive Director or designee is authorized to execute and file with such application assurances or other documentation requested by DOT of

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MTC's compliance with applicable federal statutory and regulatory requirements; and be it further

RESOLVED, that the Executive Director or designee is authorized to make administrative changes to the grant application(s) for the Overall Work Program included as Attachment A so long as such changes do not affect the total amount of the grant or scope of work.

METROPOLITAN TRANSPORTATION COMMISSION

A handwritten signature in black ink, appearing to be 'AP', with a long horizontal line extending to the right.

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Alfredo Pedroza, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in San Francisco, California and at other remote locations on April 27, 2022.

Date: April 27, 2022  
W.I.: 1121  
Revised: October 26, 2022 - C

Attachment A  
Resolution No. 4516  
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Attachment A is the FY 2022-23 Overall Work Program Amendment No. 1 for Planning Activities in the San Francisco Bay Area. Copies are on file at the MTC library.

Date: April 27, 2022  
W.I.: 1121  
Referred by: Administration Committee

Attachment B  
Resolution No. 4516  
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In accordance with 23 CFR part 450, the California Department of Transportation and the Metropolitan Transportation Commission (MTC), the designated Metropolitan Planning Organization for the San Francisco Bay Area urbanized area(s), hereby certify that the transportation planning process is being carried out in accordance with all applicable requirements including:

- 1) 23 U.S.C. 134, 49 U.S.C. 5303, and subpart C of 23 CFR part 450;
- 2) In nonattainment and maintenance areas, sections 174 and 176(c) and (d) of the Clean Air Act, as amended (42 U.S.C. 7504, 7506(c) and (d)) and 40 CFR part 93;
- 3) Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d-1) and 49 CFR part 21;
- 4) 49 U.S.C. 5332, prohibiting discrimination on the basis of race, color, creed, national origin, sex, origin in employment or business opportunity;
- 5) Section 1101(b) of the FAST Act (Pub. L. 114-94) and 49 CFR part 26 regarding the involvement of disadvantaged business enterprises in USDOT funded projects;
- 6) 23 CFR part 230, regarding the implementation of an equal employment opportunity program on Federal and Federal-aid highway construction contracts;
- 7) The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) and 49 CFR parts 27, 37, and 38;
- 8) The Older Americans Act, as amended (42 U.S.C. 6101), prohibiting discrimination on the basis of age in programs or activities receiving Federal financial assistance;
- 9) Section 324 of title 23 U.S.C. regarding the prohibition of discrimination based on gender; and
- 10) Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794) and 49 CFR part 27 regarding discrimination against individuals with disabilities.

Date: April 27, 2022  
W.I.: 1121  
Referred by: Administration Committee  
Revised: October 26, 2022

Attachment C  
Resolution No. 4516  
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Attachment C includes all amendments and supplements to the FY 2022-23 Overall Work Program for Planning Activities in the San Francisco Bay Area. Copies are on file at the MTC offices.

Amendment No. 1 to the FY 2022-23 OWP reconciles unexpended Consolidated Planning Grant (CPG) carryover as of June 30, 2022, incorporates a new grant awarded by the Federal Transit Administration, and includes modifications to the OWP scope of work, transportation planning tasks and deliverables, as well as revisions to revenue and expense line items.