

**Metropolitan Transportation Commission  
Programming and Allocations Committee**

**April 13, 2022**

**Agenda Item 2d - 22-0503**

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**MTC Resolution Nos. 4511 and 4461, Revised**

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**Subject:**

Programming of FTA Section 5311 Rural Area funds: \$4.7 million in fiscal years 2021-22 and 2022-23 Section 5311 formula funds, and revision of one project in FY2020-21 funds.

**Background:**

**FYs 2021-22 and 2022-23 Rural Area Section 5311 Funds**

Caltrans has released apportionments for regular Section 5311 formula funds, with \$4.7 million going to MTC for distribution (\$2.3 million and \$2.4 million for FYs 2021-22 and 2022-23, respectively). Staff also held a call for projects for these funds among eligible operators that provide rural transit service, with amounts set aside for each operator based on the Commission's adopted formula, which takes into account population living near rural transit stops and rural route miles in service. The resulting program of projects is listed in Attachments A and B to MTC Resolution No. 4511. These regular funds require a local match and must be placed in the TIP. The projects are a mix of operating assistance for rural routes and capital projects such as replacement vehicles and bus electrification infrastructure.

**FY 2020-21 Rural Area Section 5311 Funds Revisions**

Staff proposes the following change to the FY 2020-21 5311 programming outlined in MTC Resolution No. 4461: Re-program \$75,180 in 5311 funds from Eastern Contra Costa County Transit Authority (ECCTA) Operating Assistance to Preventive Maintenance, at the request of the operator.

**Next Steps**

Operators are required to submit applications to Caltrans by April 29, 2022. Staff will work with operators to place approved projects in a proposed TIP amendment as early as next month.

**Issues:**

None.

**Recommendation:**

Refer MTC Resolutions No. 4511 and 4461, Revised to the Commission for approval.

**Attachments:**

- MTC Resolution No. 4511
- MTC Resolution No. 4461, Revised



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Therese W. McMillan

Date: April 27, 2022  
W.I.: 1512  
Referred By: PAC

ABSTRACT

Resolution No. 4511

This resolution adopts the FYs 2021-22 and 2022-23 Federal Transit Administration (FTA) Rural Area Formula (Section 5311) Programs of Projects for the San Francisco Bay Area.

The resolution includes the following attachments:

Attachment A - FTA Section 5311 Rural Area Formula Program for FY2021-22

Attachment B - FTA Section 5311 Rural Area Formula Program for FY2022-23

Further discussion of this action is contained in the MTC Programming and Allocations Committee Summary Sheet dated April 13, 2022.

Date: April 27, 2022  
W.I.: 1512  
Referred By: PAC

Re: Program of Projects in the San Francisco Bay Area for FYs 2021-22 and 2022-23  
Federal Transit Administration (FTA) Rural Area Formula (Section 5311) Funds

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4511

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code sections 66500 et. seq.; and

WHEREAS, MTC is the designated metropolitan planning organization (MPO) for the nine-county San Francisco Bay Area; and

WHEREAS, the U.S. Department of Transportation (DOT) has adopted rules and regulations (23 CFR 450 and CFR 613) which require that the MPO, in cooperation with the state and publicly-owned operators of mass transportation services, carry on a continuing, cooperative and comprehensive transportation planning process that results in plans and programs consistent with the comprehensively planned development of the urbanized area, as a condition to the receipt of federal capital or operating assistance; and

WHEREAS, Section 5311 Title 49 of the United States Code (formerly Section 18 of the Federal Transit Act) provides for a Federal Transit Administration (FTA) formula grant program for public transportation projects in rural areas (49 U.S.C. Section 5311); and

WHEREAS, MTC has adopted Resolution No. 4036, which sets forth MTC's FTA Section 5311 Rural Area Formula Program Funding Objectives and Criteria for the San Francisco Bay Area; and

WHEREAS, MTC has developed, in consultation with interested transportation providers and in accordance with the MTC's Section 5311 Funding Objectives and Criteria, the FYs 2021-22 and 2022-23 FTA Rural Area Formula (Section 5311) Program of Projects for the San Francisco Bay Area, attached hereto as Attachments A and B, and incorporated herein as though set forth at length; now, therefore, be it

RESOLVED, that MTC adopts the FYs 2021-22 and 2022-23 FTA Rural Area Formula (Section 5311) Program of Projects as listed on Attachments A and B; and, be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to modify the FYs 2021-22 and 2022-23 Program of Projects as listed on Attachments A and B to match the actual FTA Rural Area Formula fund appropriation if needed; and, be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to forward a copy of this resolution to Caltrans, and such agencies as may be appropriate.

METROPOLITAN TRANSPORTATION  
COMMISSION

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Alfredo Pedroza, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in San Francisco, California, and at other remote locations on April 27, 2022.

**Federal Transit Administration**  
**Section 5311 Rural Area Formula Program**  
**FY2021-22**

**FY2021-22 Funding Available:**

<b>Apportionments:</b>	\$	2,319,647
<b>Prior Year Carryover:</b>	\$	-
<b>Total Funding Available:</b>	\$	<u>2,319,647</u>

**FY 2021-22 Programming:**

<u>Applicant</u>	<u>Project Description</u>	<u>Sect. 5311</u> <u>Program</u>	<u>Local</u> <u>Match</u>	<u>Total</u> <u>Project Cost</u>
LAVTA	Operating Assistance - Rural Alameda County	\$ 60,168	\$ 48,576	\$ 108,744
Marin Transit	West Marin Stagecoach Rural Bus Service	\$ 297,303	\$ 240,072	\$ 537,375
NVTA	Operating Assistance	\$ 295,168	\$ 238,348	\$ 533,516
SamTrans	Demand Response and Route 17 Operations	\$ 217,828	\$ 1,227,523	\$ 1,445,351
VTA	Operating Assistance for Bus Route 68	\$ 112,893	\$ 91,143	\$ 204,036
City of Dixon	Operating Assistance	\$ 300,000	\$ 242,250	\$ 542,250
City of Dixon	Capital Expenses	\$ 163,276	\$ 21,150	\$ 184,426
Rio Vista Delta Breeze	Operating Assistance	\$ 100,000	\$ 80,750	\$ 180,750
Sonoma County Transit	Replacement Vehicle Purchase	\$ 675,277	\$ 349,723	\$ 1,025,000
ECCTA	Preventive Maintenance	\$ 97,734	\$ 78,920	\$ 176,654
<b>Total Programming</b>		<b>\$ 2,319,647</b>	<b>\$ 2,618,456</b>	<b>\$ 4,938,103</b>
<b>Total Available</b>		<b>\$ 2,319,647</b>		
<b>Available for Carryover</b>		<b>\$ -</b>		

**Federal Transit Administration**  
**Section 5311 Rural Area Formula Program**  
**FY2022-23**

**FY2022-23 Funding Available:**

<b>Apportionments:</b>	\$	2,366,040
<b>Prior Year Carryover:</b>	\$	-
<b>Total Funding Available:</b>	\$	<u>2,366,040</u>

**FY 2022-23 Programming:**

<u>Applicant</u>	<u>Project Description</u>	<u>Sect. 5311</u> <u>Program</u>	<u>Local</u> <u>Match</u>	<u>Total</u> <u>Project Cost</u>
LAVTA	Operating Assistance - Rural Alameda County	\$ 61,371	\$ 49,548	\$ 110,919
Marin Transit	West Marin Stagecoach Rural Bus Service	\$ 303,249	\$ 244,874	\$ 548,123
NVTA	Operating Assistance	\$ 301,072	\$ 243,116	\$ 544,188
SamTrans	Demand Response and Route 17 Operations	\$ 222,185	\$ 1,261,290	\$ 1,483,475
VTA	Operating Assistance for Bus Route 68	\$ 115,150	\$ 92,965	\$ 208,115
City of Dixon	Operating Assistance	\$ 300,000	\$ 242,250	\$ 542,250
City of Dixon	Capital Expenses	\$ 49,541	\$ 6,417	\$ 55,958
Rio Vista Delta Breeze	Operating Assistance	\$ 150,000	\$ 121,125	\$ 271,125
Rio Vista Delta Breeze	Capital Expenses	\$ 75,000	\$ 9,715	\$ 84,715
Sonoma County Transit	Replacement Vehicle Purchase	\$ 688,783	\$ 387,467	\$ 1,076,250
ECCTA	Preventive Maintenance	\$ 99,689	\$ 80,499	\$ 180,188
<b>Total Programming</b>		<b>\$ 2,366,040</b>	<b>\$ 2,739,265</b>	<b>\$ 5,105,305</b>
<b>Total Available</b>		<b>\$ 2,366,040</b>		
<b>Available for Carryover</b>		<b>\$ -</b>		

Date: April 28, 2021  
W.I.: 1512  
Referred By: PAC  
Revised: 1/26/22-C 4/27/22-C

ABSTRACT

Resolution No. 4461

This resolution adopts the FY2020-21 Federal Transit Administration (FTA) Rural Area Formula (Section 5311) Programs of Projects for the San Francisco Bay Area.

The resolution includes the following attachment:

Attachment A - FTA Section 5311 Rural Area Formula Program for FY2020-21

Attachment A of this resolution was revised January 26, 2022 to make a revision to the 5311 program of projects as requested by the Eastern Contra Costa Transit Authority.

Attachment A of this resolution was revised April 13, 2022 to make a revision to the 5311 program of projects as requested by the Eastern Contra Costa Transit Authority.

Further discussion of this action is contained in the MTC Programming and Allocations Committee Summary Sheets dated April 14, 2021, January 12, 2022, and April 13, 2022.

Date: April 28, 2021  
W.I.: 1512  
Referred By: PAC  
Revised: 1/26/22-C 4/27/22-C

Re: Program of Projects in the San Francisco Bay Area for the FY2020-21 Federal Transit Administration (FTA) Rural Area Formula (Section 5311) Funds

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4461

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code sections 66500 et. seq.; and

WHEREAS, MTC is the designated metropolitan planning organization (MPO) for the nine-county San Francisco Bay Area; and

WHEREAS, the U.S. Department of Transportation (DOT) has adopted rules and regulations (23 CFR 450 and CFR 613) which require that the MPO, in cooperation with the state and publicly-owned operators of mass transportation services, carry on a continuing, cooperative and comprehensive transportation planning process that results in plans and programs consistent with the comprehensively planned development of the urbanized area, as a condition to the receipt of federal capital or operating assistance; and

WHEREAS, Section 5311 Title 49 of the United States Code (formerly Section 18 of the Federal Transit Act) provides for a Federal Transit Administration (FTA) formula grant program for public transportation projects in rural areas (49 U.S.C. Section 5311); and

WHEREAS, MTC has adopted Resolution No. 4036, which sets forth MTC's FTA Section 5311 Rural Area Formula Program Funding Objectives and Criteria for the San Francisco Bay Area; and

WHEREAS, MTC has developed, in consultation with interested transportation providers and in accordance with the MTC's Section 5311 Funding Objectives and Criteria, the FY2020-21 FTA Rural Area Formula (Section 5311) Program of Projects for the San Francisco Bay Area, attached hereto as Attachments A, and incorporated herein as though set forth at length; now, therefore, be it

RESOLVED, that MTC adopts the FY2020-21 FTA Rural Area Formula (Section 5311) Program of Projects as listed on Attachment A; and, be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to modify the FY2020-21 Program of Projects as listed on Attachment A to match the actual FTA Rural Area Formula fund appropriation if needed; and, be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to forward a copy of this resolution to Caltrans, and such agencies as may be appropriate.

METROPOLITAN TRANSPORTATION  
COMMISSION



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Alfredo Pedroza, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in San Francisco, California, and at other remote locations on April 24, 2021.

Date: April 28, 2021  
W.I.: 1512  
Referred by: PAC  
Revised: 1/26/22-C  
4/27/22-C

Attachment A  
Resolution No. 4461  
Page 1 of 1

**Federal Transit Administration**  
**Section 5311 Rural Area Formula Program**  
**FY2020-21**

**FY2020-21 Funding Available:**

<b>Apportionments:</b>	\$	<b>1,784,344</b>
<b>Prior Year Carryover:</b>	\$	-
<b>Total Funding Available:</b>	\$	<b>1,784,344</b>

**FY 2020-21 Programming:**

<u>Applicant</u>	<u>Project Description</u>	<u>Sect. 5311</u> <u>Program</u>	<u>Local</u> <u>Match</u>	<u>Total</u> <u>Project Cost</u>
LAVTA	Operating Assistance - Rural Alameda County	\$ 46,283	\$ 37,366	\$ 83,649
Marin Transit	West Marin Stagecoach Rural Bus Service	\$ 228,695	\$ 184,634	\$ 413,329
NVTA	Operating Assistance	\$ 227,053	\$ 183,316	\$ 410,369
SamTrans	Operating Assistance for Existing Transit Services	\$ 167,560	\$ 1,847,240	\$ 2,014,800
VTA	Operating Assistance for Bus Route 68	\$ 86,840	\$ 70,109	\$ 156,949
City of Dixon	City of Dixon Read-Ride Electrification Infrastructure	\$ 79,843	\$ 10,344	\$ 90,187
City of Dixon	City of Dixon Read-Ride Vehicle Replacements	\$ 166,436	\$ 21,564	\$ 188,000
Rio Vista Delta Breeze	Rio Vista Delta Breeze Electrification Infrastructure	\$ 58,802	\$ 7,621	\$ 66,423
Rio Vista Delta Breeze	Rio Vista Delta Breeze Vehicle Replacements	\$ 128,208	\$ 21,792	\$ 150,000
Sonoma County Transit	Replacement Vehicle Purchase	\$ 519,444	\$ 530,556	\$ 1,050,000
ECCTA	Preventive Maintenance	\$ 75,180	\$ 60,708	\$ 135,888
<b>Total Programming</b>		\$ 1,784,344	\$ 2,975,250	\$ 4,759,594
<b>Total Available</b>		\$ 1,784,344		
<b>Available for Carryover</b>		\$ -		